

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	34299
First Inventor	Yoshifuru Saito et al.
Title	Market Trend Analyzing Method and Market Trend Ana
Express Mail Label No.	EL653125295US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 29]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 18]
- ☒ Oath or Declaration [Total Pages ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Check for \$496.00.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				or	<input type="checkbox"/> Correspondence address below
Name	00116				
Address	PATENT & TRADEMARK OFFICE				
City		State		Zip Code	
Country		Telephone		Fax	
Name (Print/Type)	Jeffrey J. Sopko			Registration No. (Attorney/Agent)	27676
Signature	<i>Jeffrey J. Sopko</i>			Date	01/15/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT

PEARNE & GORDON LLP  
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(216) 579-1700

Attorney Docket No.: 34299

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing by a small entity is the patent application of:

Inventor: Yoshifuru Saito, Yoshihiro Takasuka and Yoshikazu Ishii.

For: "MARKET TREND ANALYZING METHOD AND  
MARKET TREND ANALYZING DEVICE"

Eighteen (18) sheets of formal drawings are included.

An assignment of the invention to I.T.M.L. Co., Ltd., Mr. Yoshifuru Saito and Mr. Yoshikazu Ishii will be forwarded.

Priority is claimed under 35 U.S.C. § 119 on the basis of the following foreign application: **Japanese Patent Application No. 2001-12387, filed January 19, 2001.** A certified copy of this application is enclosed.

"Express Mail" mailing label number EL653125295US

Date of Deposit January 15, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Amanda Wittne

Printed Name of Person Mailing Paper or Fee

*Amanda Wittne*

Signature of Person Mailing Paper or Fee

10047065-011502

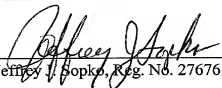
**CLAIMS AS FILED**

<u>For</u>	<u>Number</u>	<u>Rate</u>	<u>Fees</u>
Total claims in excess of 20:	0	18	\$0.00
Independent claims in excess of 3:	3	42	\$126.00
Multiple dependent claims, if any, add surcharge of \$280.00			\$0.00
Non-English Specification, add surcharge of \$130.00			\$0.00
		Basic Fee	\$370.00
Assignment Recordal Fee of \$40.00			\$0.00
		<b>Total Fee</b>	<b>\$496.00</b>

A check in the amount of the Total Fee calculated above is enclosed.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 16-0820, Order No. 34299.

Respectfully,  
**PEARNE & GORDON LLP**

  
\_\_\_\_\_  
Jeffrey V. Sopko, Reg. No. 27676

Date: January 15, 2002